

# COMMON APPLICATION FORM

PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM. All sections to be completed in BLACK / BLUE COLOURED INK and In BLOCK LETTERS (all points marked\* are mandatory). For SIP investment use the separate SIP Form.

MUTUAL FUND

To Know Your KYC Status  
Scan Here



**To check your Name as per PAN and know your latest KYC Status;  
send an SMS to 92129 93399, in the below mentioned format:**

KYC (Space) JMF (Space) (PAN Number in Capital Letters) (Space) Date of Birth in DD/MM/YYYY (Space) Name as per PAN

Sample SMS to be sent to 92129 93399 - KYC JMF ABCDE1234F 01/01/1980 First Name (Space) Last Name

DISTRIBUTOR INFORMATION			FOR OFFICE USE ONLY		
Name & ARN of Distributor / RIA Code*	Employee Unique Identification No. (EUIIN)^	Sub-Broker ARN Code No.	Internal Sub-Broker Code (as allotted by Distributor)	In-House number as per K-BOLT	Date, Time and Number as per Time Stamping Machine
<b>ARN-181211</b>	<b>E528682</b>				

^Mandatory: Furnishing of EUIIN is mandatory for all transactions (Purchase/Switch/SIP/STP) or following declaration should be signed by the investor (Please ✓ the box).

☐ Declaration: "I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

\*RIA/Declaration: I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes managed by you to the above mentioned SEBI registered investment adviser/RIA.

SIGNATURE (s)	SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT

"Upfront Fee or commission shall be paid directly by the investor to the AMFI registered Distributor based on the investor's assessment of various factors including the service rendered by the distributor".

TRANSACTION CHARGES (PLEASE ✓)	(Refer Instruction No.XIX)
<input type="checkbox"/> I am a First Time Investor in Mutual Funds	<input type="checkbox"/> I am an Existing Investor in Mutual Funds

In case the subscription amount is ₹10,000/- or more and your Distributor has opted to receive Transaction Charges, ₹150 (for first time mutual fund investor) or ₹100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

INVESTMENT TYPE (Please tick any one)	MODE OF HOLDING (Please tick ✓)
<input type="checkbox"/> LUMP SUM <input type="checkbox"/> SPECIAL SIP** <input type="checkbox"/> LUMP SUM WITH SIP/STP/SWP	<input type="checkbox"/> SINGLE <input type="checkbox"/> JOINT* <input type="checkbox"/> EITHER OR SURVIVOR (* Default, in case of ambiguity when applicant are more than one)

\*\*Special SIP - New SIP registration without initial investment.

EXISTING UNIT HOLDER'S INFORMATION (Please fill in your details mentioned below and proceed to section 5)
Folio No. <input type="text"/>
Require Hard Copy of Annual Report <input type="checkbox"/> Yes <input type="checkbox"/> No

## 1. APPLICANT INFORMATION (Mandatory) TO BE FILLED IN BLOCK LETTERS AND AS PER PAN RECORDS.

NAME OF SOLE /1ST APPLICANT Mr. Ms. M/s.

PAN/PEKRN  (Submit verified copy of PAN for 1st time Investor) CKYC No.  DOB/DOI<sup>s</sup>

Mobile No.#  Email ID.#

Mobile no. specified above belongs to (Please tick (✓) any one option) ☐ Self ☐ Spouse ☐ Dependent Parents ☐ Dependent Children ☐ Dependent Siblings ☐ Guardian ☐ POA

Email id specified above belongs to (Please tick (✓) any one option) ☐ Self ☐ Spouse ☐ Dependent Parents ☐ Dependent Children ☐ Dependent Siblings ☐ Guardian ☐ POA

LEI No. (Legal Entity Identifier) of Non-Individual Investor (Mandatory):  Valid Upto \_\_\_\_ / \_\_\_\_ /202\_\_

Note : In case the first applicant is Non Individual please attach FATCA, CRS & UBO Self Certification Form. LEI No. is Mandatory for transaction amount 50 Crs and above for Non Individual.

\*Proof of Date of Birth of Minor ☐ Birth Certificate ☐ Passport ☐ Others \_\_\_\_\_ (Please specify)

## GUARDIAN DETAILS (In case First / Sole Applicant is minor) / CONTACT PERSON - DESIGNATION / POA HOLDER (In case of Non-Individual Investors)

Mr. Ms. M/s.

Relationship with Minor/Designation

PAN/PEKRN  Date of Birth  CKYC No.

ADDRESS

CITY  (As per KYC Records)

STATE  COUNTRY  PIN

RESI.  OFF.  FAX

SECOND APPLICANT Mr. Ms.

PAN/PEKRN  CKYC No.  Date of Birth

Mobile No.#  Email ID.#

Mobile no. specified above belongs to (Please tick (✓) any one option) ☐ Self ☐ Spouse ☐ Dependent Parents ☐ Dependent Children ☐ Dependent Siblings ☐ Guardian ☐ POA

Email id specified above belongs to (Please tick (✓) any one option) ☐ Self ☐ Spouse ☐ Dependent Parents ☐ Dependent Children ☐ Dependent Siblings ☐ Guardian ☐ POA

## ACKNOWLEDGEMENT SLIP

Received from: Mr. / Ms. / M/s. \_\_\_\_\_ an application for allotment

Scheme \_\_\_\_\_ Plan ☐ Regular ☐ Direct Option \_\_\_\_\_

vide Cheque No \_\_\_\_\_ Dated \_\_\_\_/\_\_\_\_/\_\_\_\_ Amount (₹) \_\_\_\_\_ Drawn

on Bank and Branch \_\_\_\_\_

Please note: All purchases are subject to realization of cheques and as per applicable load structure (please refer Scheme Information Document)

THIRD APPLICANT

Mr. Ms.

PAN/PEKRN

Date of Birth

D D M M Y Y Y Y

CKYC No.

Mobile No.\*

Email ID.\*

Mobile no. specified above belongs to (Please tick (✓) any one option

☐ Self

☐ Spouse

☐ Dependent Parents

☐ Dependent Children

☐ Dependent Siblings

☐ Guardian

☐ POA

Email id specified above belongs to (Please tick (✓) any one option

☐ Self

☐ Spouse

☐ Dependent Parents

☐ Dependent Children

☐ Dependent Siblings

☐ Guardian

☐ POA

# SMS and/ Email ID will be used as the default mode of communication if the mobile no. and/or Email ID is furnished. + In case, not ticked, it will be treated to have "opted out".

STATUS

☐ Resident Individual

☐ NRI

☐ AOP/BOI

☐ Bank

☐ Company

☐ Body Corporate

☐ Partnership Firm

☐ FI

☐ FII

☐ Government Body

☐ HUF

☐ PIO

☐ PSU

☐ On behalf of Minor (RI)

☐ On behalf of Minor (NRI)

☐ Society

☐ Sole Proprietor

☐ Trust /Charities/NGO's

☐ Mutual Funds

☐ Defence Establishment

☐ NPO\* (Mandatory) (FCRA Account No.)

☐ Others (if specify)

\*"Non-profit organization" means any entity or organisation, constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), that is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

☐ Yes

☐ No

If yes, please quote Registration No. of Darpan portal of Niti Aayog

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We am/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

OVERSEAS APPLICANT DETAILS [APPLICANTS FROM US and CANADA WILL NOT BE ACCEPTED (Refer Instruction No 7.)]

ADDRESS (Mandatory for NRI/FII applicant)

Country

Zip Code

TIN No. (Mandatory)

2. KYC DETAILS (Mandatory - Refer Instruction No. XIII for details)

OCCUPATION (Please tick ✓)

First Applicant

☐ Business

☐ Service

☐ Professional

☐ Agriculturist

☐ Housewife

☐ Student

☐ Defence

☐ Govt. official

☐ Forex Dealer

☐ Unlisted Company

☐ Body Corporate

☐ Listed Company

☐ Private Ltd.

☐ Public Ltd.

☐ Others

GROSS ANNUAL INCOME (Please tick ✓)

First Applicant

For Individual

☐ Below 1 Lac

☐ 1 - 5 Lacs

☐ 5 - 10 Lacs

☐ 10 - 25 Lacs

☐ > 25 Lacs - 1Crore

☐ > 1 Crore

Net Worth (Mandatory for Non-Individuals) ₹ as on

D D M M Y Y Y Y

 [Not older than 1 year]

Second Applicant

For Individual

☐ Below 1 Lac

☐ 1 - 5 Lacs

☐ 5 - 10 Lacs

☐ 10 - 25 Lacs

☐ > 25 Lacs - 1Crore

☐ > 1 Crore

Occupation (Please specify)

Third Applicant

For Individual

☐ Below 1 Lac

☐ 1 - 5 Lacs

☐ 5 - 10 Lacs

☐ 10 - 25 Lacs

☐ > 25 Lacs - 1Crore

☐ > 1 Crore

Occupation (Please specify)

POLITICALLY EXPOSED PERSON (Please tick ✓ ) (refer point no 11 in "instructions to the investors for filling up the application forms)

First Applicant

☐ I am Politically Exposed Person

☐ I am related to Politically Exposed Person

☐ Not Applicable

Second Applicant

☐ I am Politically Exposed Person

☐ I am related to Politically Exposed Person

☐ Not Applicable

Third Applicant

☐ I am Politically Exposed Person

☐ I am related to Politically Exposed Person

☐ Not Applicable

For Non-Individuals (Companies, Trust, Partnership etc.) (Please tick ✓ )

☐ Foreign Exchange / Money Changer Service

☐ Gamin / Gambling / Lottery / Casino Services

☐ Money Lending / Pawning

☐ Not Applicable

3. FATCA/CRS DETAILS MANDATORY FOR INDIVIDUALS (Non Individual Investors should mandatory fill separate FATCA/CRS details form) (Refer Instruction No. XVIII)

Sole / First Applicant / Guardian

Place & Country of Birth : /

Country

Tax Payer Ref ID No

Identification Type [TIN or other, please specify]

2nd Applicant

Place & Country of Birth : /

Country

Tax Payer Ref ID No

Identification Type [TIN or other, please specify]

☐ 3rd Applicant

☐ POA

Place & Country of Birth : /

Country

Tax Payer Ref ID No

Identification Type [TIN or other, please specify]

1.

2.

3.

4. INVESTMENT DETAILS (PIs Refer instruction No. 5)\*?? Investment in more than one Scheme cheque should be issued in favor of JM FINANCIAL MUTUAL FUND - COLLECTION ACCOUNT (For Lumpsum purchase only)

Sr. No.

Scheme Name

JM

Plan

Option

Sub Option

Amount

1.

JM

2.

JM

3.

JM

Total

\*In case of any ambiguity / incomplete information, the default plan / option / sub-option will be applicable as per the scheme's Key Information Memorandum, Scheme Information Document & Statement of Additional Information. ?? Investor desirous of investing directly with the AMC without availing the services of any Distributor/Broker, will have to clearly tick "Direct" under above column titled as "Plan".

(Refer Instruction No. IV)

(It is mandatory to furnish bank particulars failing which application shall be rejected. Please submit documentary proof of the bank mandate depicting the name of the 1st / sole applicant).

Please mention the application no. on the reverse of the Cheque / DD. The details of the bank account provided above pertain to my / our bank account in my / our name ☐ Yes ☐ No  
If No, my relationship with the bank account holder is ☐ Spouse ☐ Child ☐ Parent ☐ Relative ☐ Others. Application form without this information is liable to be rejected.  
Documents Attached to avoid Third Party Payment Rejection, where applicable: ☐ Bank Certificate, for DD ☐ Third Party Declarations

I / We hereby declare that the above mentioned Demand Draft^^ has been issued:  
☐ from/by debit to my personal/my joint Bank Account    ☐ against cash (in case of demand draft) upto Rs. 50,000/-.  
 ^^In case of Demand Draft, Banker's certificate about the source of funds is attached.  
 Please attach foreign inward remittance Certificate (FIRC) / account debit Certificate in case of debit to NRE / NRO account or direct remittance from abroad.

**Date:**  **Place:**

**Note:** In case the First Applicant is a Non Individual, please attach FATCA, CRS & UBO Self Certification Form <sup>^</sup> The application is liable for rejection if the name does not match with PAN copy. It is mandatory for investors to be KYC compliant prior to investing in JM Financial Mutual Fund.

& US and Canada Investors are not permitted to invest in our Schemes. <sup>^</sup> In case, not ticked, it will be considered as Not Applicable.

Please ☒ Repatriation basis ☐ Non-Repatriation basis.