

Please note: All purchases are subject to realization of cheques and as per applicable load structure (please refer Scheme Information Document)

Guardian Details (In case First / Sole Applicant is minor) / **Contact Person- Designation / POA Holder** (In case of Non- Individual Investors)

Mr. / Ms.

Date of Birth

D

D

M

M

Y

Y

Y

Y

PAN

CKYC No.

Gender

☐ Male☐ Female☐ Transgender

Mobile No.

Email ID

Relationship with Minor/Designation

The Email ID belongs to (Mandatory Please ✓)☐ Self☐ Spouse☐ Dependents☐ POA☐ Custodian☐ Guardian

The Mobile No. belongs to (Mandatory Please ✓)☐ Self☐ Spouse☐ Dependents☐ POA☐ Custodian☐ Guardian

Date of Birth Proof for minors (Any One)

☐ Birth Certificate☐ Marksheet (HSC/ICSE/CBSE)☐ School Leaving Certificate☐ Passport☐ Others

Demat Account Details

☐ NSDL☐ CDSL

☐ Physical Mode☐ Demat Mode (Mandatory to provide the demat details in case mode of holding tick as demat mode)

NSDL

Beneficiary A/C No.

CDSL

Please Note: Demat Account Details of First / Sole Applicant (Name should be as per demat account) (Note: Please attach copy of Client Master List.)

Tax Status (Applicable for First / Sole Applicant)

☐ Resident Individual☐ Foreign National☐ Public Limited Company☐ Government Body☐ AOP / BOI☐ Defense Establishment☐ On behalf of Minor

☐ Sole Proprietorship☐ Private Limited Company☐ Financial Institution☐ Trust / Society / NGO☐ Other

☐ HUF☐ Partnership Firm☐ Body Corporate☐ FII☐ Non Profit Organization / Charities☐ NRI☐ LLP☐ Bank☐ Foreign Portfolio Investor☐ QFI

Overseas Address

Address for Communication (for NRI applicants) ☐ Indian☐ Overseas

Address (Mandatory for NRI/FII applicant*)

Country

Zip Code

Email Communication (Please tick ✓)

Default communication mode is through 'email'. If email address is not provided then please 'Opt-in' to receive below documents in physical copy by ticking the option below:

☐ Annual Report☐ Abridged Annual Report☐ Other Statutory Information

2. KYC Details (Mandatory - Refer Instruction No XI for details)

Occupation (Please tick ✓)

First Applicant/ Guardian:

☐ Business☐ Service☐ Professional☐ Agriculturist☐ Housewife☐ Student☐ Defence

☐ Bureaucrat☐ Forex Dealer☐ Unlisted Company☐ Body Corporate☐ Listed Company☐ Others

Second Applicant:

☐ Business☐ Service☐ Professional☐ Agriculturist☐ Housewife☐ Student☐ Defence

☐ Bureaucrat☐ Forex Dealer☐ Unlisted Company☐ Body Corporate☐ Listed Company☐ Others

Third Applicant:

☐ Business☐ Service☐ Professional☐ Agriculturist☐ Housewife☐ Student☐ Defence

☐ Bureaucrat☐ Forex Dealer☐ Unlisted Company☐ Body Corporate☐ Listed Company☐ Others

Gross Annual Income (Please tick ✓)

First Applicant / Non-individuals:

☐ Below 1 Lac☐ 1-5 Lacs☐ 5-10 Lacs☐ 10-25 Lac☐ >25 Lacs - 1 Crore☐ > 1 Crore

OR Net worth (Mandatory for Non - Individuals) ₹ as on

D

D

M

M

Y

Y

Y

Y

 (Not older than 1 year)

Second Applicant:

☐ Below 1 Lac☐ 1-5 Lacs☐ 5-10 Lacs☐ 10-25 Lac☐ >25 Lacs - 1 Crore☐ > 1 Crore

as on

D

D

M

M

Y

Y

Y

Y

 (Not older than 1 year)

Third Applicant:

☐ Below 1 Lac☐ 1-5 Lacs☐ 5-10 Lacs☐ 10-25 Lac☐ >25 Lacs - 1 Crore☐ > 1 Crore

as on

D

D

M

M

Y

Y

Y

Y

 (Not older than 1 year)

CHECKLIST: Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee /Company Secretary / Authorised signatory / Notary Public)

Documents	Individual	HUF	Companies / LLP	Societies	Partnership Firms	Investment through POA	Trusts	NRI	FII\$	PIO	FPI#
Copy of PAN Card	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
KYC Compliance	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Declaration under FATCA	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Resolution/ Authorization to invest			✓	✓	✓		✓		✓		✓
List of authorized signatories with specimen signatures			✓	✓	✓	✓	✓		✓		✓
Trust Deed							✓				
Ultimate Beneficial Ownership (UBO)		✓	✓	✓	✓		✓		✓		✓
Bye-laws				✓							
Partnership Deed					✓						
Certificate of Registration									✓		✓
Notarized POA						✓					
PIO Card										✓	
Foreign Inward Remittance Certificate										✓	

\$-For FII's copy of SEBI registration certificate should be provided.

Certificate of registration granted by designated depository participants on behalf of SEBI.

For Individuals (Please tick ✓)			
	First Applicant:	Second Applicant	Third Applicant
I am Politically Exposed Person			
I am Related to Politically Exposed			
Not Applicable			

For Non-Individual Investors (Please tick ✓)			
Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company : <input type="checkbox"/> Yes <input type="checkbox"/> No (If No, please attach mandatory UBO Declaration)			
Foreign Exchange / Money	<input type="checkbox"/> Yes <input type="checkbox"/> No	Gaming / Gambling / Lottery / Casino	<input type="checkbox"/> Yes <input type="checkbox"/> No
Charger Services		Services	Money Lending / Pawning <input type="checkbox"/> Yes <input type="checkbox"/> No

3. Non-Profit Organization (NPO)	
We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013). <input type="checkbox"/> Yes <input type="checkbox"/> No	
If yes, please quote Registration No. of Darpan portal of Niti Aayog <div></div>	
If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We am/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.	

4. Power of Attorney (POA) If investment is being made by a Constitutional Attorney, please submit notarised copy of POA	
POA NAME	Mr. / Ms. / M/s. <div></div> PAN <div></div>

5. Nomination Details (Please tick ✓)	
<input type="checkbox"/>	I/We do hereby nominate the undermentioned Nominee(s) to receive the Units allotted to my/our credit in my/our folio in the event of my/our death. I/We also understand that all payment and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustees. OR
<input type="checkbox"/>	I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio/ and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio / demat account.

Nominee details	Nominee 1	Nominee 2	Nominee 3
Name*			
Address of Nominee(s)/ Guardian in case of Minor			
Date of Birth* (in case of Minor)			
Relationship*			
Proportion (%)* (% to aggregate to 100%)			
Name of the Guardian* (to be furnished in case the nominee is minor)			
Mobile / Telephone No. of nominee(s)/ Guardian in case of Minor			
Email ID of nominee(s)/ Guardian in case of Minor			
Nominee/ Guardian (in case of Minor) Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity ID			

The information marked with (*) are mandatory fields and cannot be left blank.

Signature (s) "Should be signed by all unit holders including joint holders, irrespective of mode of holding"		
SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT

6. Lumpsum/New SIP-Investment Details* Choice of Scheme/Plan/Option For SIP Investment Auto-Debit Form is mandatory (Refer Instruction No.VI)

Scheme Plan: ☐ Regular ☐ Direct
Option: ☐ Growth ☐ IDCW Sub Option: ☐ Payout of IDCW ☐ Reinvestment of IDCW ☐ Transfer of IDCW (Specify in Target Scheme)
Target Scheme of Samco Plan: ☐ Regular ☐ Direct Option: ☐ Growth

7. Bank Account Details

Account No Account Type (Please ✓): ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR
Bank Name Bank Address
City Pin IFSC CODE MICR CODE

8. Payment Details

Mode of Payment (Please ✓) ☐ RTGS/NEFT/Fund Transfer ☐ Demand Draft ☐ Cheque ☐ One time Mandate
Cheque No/Payment Ref No Date
Gross Amount ₹ Net Amount ₹ DD Charges ₹
Bank Details: ☐ Same as above (Please tick (✓) if yes) ☐ Different from above (Please tick (✓) if it is different from above and fill in the details below)
Bank/Branch & City
Account No Account Type (Please ✓): ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

9. FATCA/CRS Details - Non Individual Investors should mandatory to fill separate FATCA/CRS details form

(Refer Instruction No.XVI)

The below information is required for all applicants/guardian

	Place / City of Birth	Country of Birth	Country of Citizenship / Nationality			
First Applicant / Guardian			<input type="checkbox"/> Indian	<input type="checkbox"/> U.S.	<input type="checkbox"/> Others	<u>Please Specify</u>
Second Applicant			<input type="checkbox"/> Indian	<input type="checkbox"/> U.S.	<input type="checkbox"/> Others	<u>Please Specify</u>
Third Applicant			<input type="checkbox"/> Indian	<input type="checkbox"/> U.S.	<input type="checkbox"/> Others	<u>Please Specify</u>

Is your Tax Residency/Country of Birth/Citizenship/Nationality other than India? ☐ Yes ☐ No (please ✓)

If yes, please indicate all countries in which you are resident for tax purpose and the associated Tax ID number below, In case of POA, the POA holder should mandatorilly fill Annexure I for complete details.

	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other please specify)	Identification Type (TIN or other please specify)
First Applicant / Guardian				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Second Applicant				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Third Applicant				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

Reason A - The country where the Account Holder is liable to pay tax does not issue Tax Identification Number to its residents

Reason B - No TIN required (Select this reason only if the authorities of the country of tax residence do not require the TIN to be cancelled)

Reason C - Others please state the reasons thereof

10. Declaration and Signature(s)

Having read and understood the contents of the Scheme Information Document (SID) of the scheme applied for and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I/We hereby apply to Samco Mutual fund for units of such Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents.

I/We undertake that these investments are my/our own and acknowledge that AMC reserves the right to call for such other additional information/documents as required to comply with PMLA/KYC/FATCA norms. I/We hereby, further agree that the Fund can directly credit all the redemption amount to my bank details given above. I/We hereby declare that the particulars stated above are correct.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We further agree that the Fund/AMC can send us all types of SMS relating to the products offered by them. Applicable to investors who have not opted for nomination facility. I/We hereby confirm that it is my/our informed decision not to avail the nomination facility offered by Samco Mutual Fund.

Applicable to NRI only: I/We confirm that I am / we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (u) (Including amount of Additional Purchase Transaction made in future)

☐ Repatriation ☐ Non Repatriation

Date

Place

Signature (s)

SOLE / FIRST APPLICANT

SECOND APPLICANT

THIRD APPLICANT